217770US0PCT





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Takashi SHIBATA, et al.

SERIAL NO:

10/019,282

GAU:

FILED:

January 2, 2002

EXAMINER:

FOR:

GENE ENCODING CYCLIC LIPOPEPTIDE ACYLASE AND EXPRESSION OF THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND.

MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Surinder Sachar

Registration No. 34,423

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 03/02)

U.S. PCT Application Serial No. 10/019,282 Docket No: 217770US0PCT

STATEMENT OF RELEVANCY

| 1) Poforonos | have been sited in the International Secuely |
|---|---|
| Report. Copies of these reference provided by the International Sea | have been cited in the International Search es are being submitted herewith only when not automatically rching Authority. |
| 2) Reference AO has been Report. A copy of this reference | n cited in the corresponding <u>Supplemental European Search</u> is being submitted herewith. |
| 3) References these references is being submitte | · · · |
| 4) References of these references is being submit | are additional prior art known to Applicant. A copy itted herewith. |

| Form PTO 1449 | | U.S. DEPARTMENT | OF COMMERCE | ATTY DOCKET NO. | | SERIAL NO. 10/019,282 | | | |
|---------------------------|------------|--|---------------|--|--------------|---|----------------|-----------|--|
| (Modified) | | PATENT AND TRADI | EMARK OFFICE | 217770US0PCT | | | | | |
| | | | | APPLICANT | | | | | |
| LIST OF | REFE | RENCES CITED BY APP | LICANT | Takashi SHIBATA, et al. | | | | | |
| | | | FILING DATE | | GROUP | | | | |
| | | January 2, 2002 | l | | | | | | |
| | | | | U.S. PATENT DOCUMENTS | | | | | |
| EXAMINER | | DOCUMENT | DATE | NAME | CLASS | SUB | | | |
| INITIAL | | NUMBER | | 14VIAIT | | CLASS | IF APPROPRIATE | | |
| | AA | | | | | ļ | | | |
| | AB | | | | | <u> </u> | ļ | | |
| | AC | | | | | <u> </u> | <u> </u> | | |
| | AD | ļ | | | | | | | |
| | AE | | | | | | | | |
| | AF | | | | | | | | |
| | AG | | | | | | | | |
| | AH | | | | | | | | |
| | Al | | | | | | | | |
| | AJ | | | | | | | | |
| | AK | | | | | | | | |
| | AL | | | | | | | | |
| | AM | | | | | | | | |
| | AN | | | | | | | | |
| | | | FC | REIGN PATENT DOCUMENTS | | <u> </u> | | | |
| | | DOCUMENT | T- | | | | TRANSI | LATION | |
| | | NUMBER | DATE | COUNTRY | | YES | | NO | |
| | AO | 01/31038 | 05/03/01 | wo | | | | No | |
| | AP | | | | | | | | |
| | AQ | | | | | | | | |
| | AR | | | | | | | | |
| | AS | | | | | | | | |
| | ΑT | | | | | | | | |
| | AU | | | | | · | | | |
| | ΑV | | | | | | | | |
| | | OTHER RE | FERENCES (| (Including Author, Title, Date, Pertine | ent Pages, e | tc.) | | | |
| | A\A(| | | | | | | | |
| | AW | | | | | | | | |
| | AX | | | | | | | | |
| | AY | | | | | | | | |
| | AZ | | | | Add | Additional References sheet(s) attached | | | |
| Examiner | | | | | Date Co | Date Considered | | | |
| *Examiner: In conformance | itial if r | reference is considered, of considered. Include oc | whether or no | t citation is in conformance with MPEP with next communication to applicant. | 609; Draw li | ne through | citation | if not in | |